

3722

Case No.: POWRD-014B

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Raymond G. Freuler et al. )  
Serial No.: 09/834,158 )  
Filed: 04/12/01 )  
For: Thermal Interface )  
Composition With Induced )  
Adhesive Property )

Group No.: 3722

Examiner: Bishop, Steven C.

TC 3700 MAIL ROOM

JUL 18 2001

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## NOTICE OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON D C 20231

Dear Sir:

Applicant submits herewith a copy of the NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS in relation to above-identified patent application. The patent application is a CIP of United States patent application serial no. 09/573,508 filed 5/18/2000. Through error without deceptive intent, the patent application was inadvertently filed as a small entity which is no longer appropriate. Applicant respectfully submits the additional fees for the large entity status.

If any additional fee is required, please charge Deposit Account Number 19-4330.

Respectfully submitted,

Date: 7/15/01

By: Lowell Anderson

Lowell Anderson  
Registration No. 30,990  
STETINA BRUNDA GARRED & BRUCKER  
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Aliso Viejo, CA 92656  
(949) 855-1246

07/15/2001 JADD01 00000074 09834158

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MAN/ms

Practitioner' Do ket No. POWRD-014A

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Raymond Freuler  
Application No.: 09 / 573,508 Group No. 1751  
Filed: 05/18/00 Examiner: Unknown  
For: GRAPHITIC ALLOTROPE INTERFACE COMPOSITION AND METHOD OF FABRICATING THE  
SAME  
☐ Patent No.: \_\_\_\_\_ Issued: \_\_\_\_\_

\*NOTE: Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Assistant Commissioner for Patents  
Washington, D.C. 20231

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS  
(37 C.F.R. § 1.28(b))

NOTE: Notification of any change in status resulting in loss of entitlement to small entity status must be filed in an application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate, pursuant to § 1.9 of this Part. The notification of change in status may be signed by the applicant, any person authorized to sign on behalf of the assignee, or an attorney or agent of record or acting in a representative capacity pursuant to § 1.34(a) of this Part. 37 C.F.R. § 1.28(b)

NOTE: From the above portion of 37 C.F.R. § 1.28(b), it is only a change in status "resulting in loss of entitlement to small entity status" that must be filed, and a change from one small entity status to another small entity status requires no notification.

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

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Date: 12/20/2000

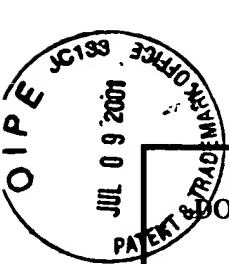
Signature

Kristin Stenberg

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3722  
#

POCKET NO.: POWRD014B

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UNDER 37 CFR 1.8 OR 37 CFR 1.10

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on July 5, 2001  
(Date)

  
Signature

MICHELLE SCHROEDER

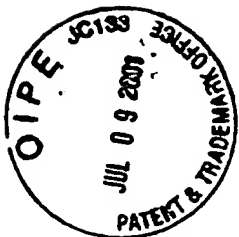
Typed or printed name of person signing Certificate

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TC 1700

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2. FEE TRANSMITTAL (IN DUPLICATE);
3. COPY OF NOTICE OF LOSS OF SMALL ENTITY STATUS;
4. STATEMENT OF LOSS OF SMALL ENTITY STATUS;
5. CHECK FOR \$355.00 (Additional Fee for Large Entity Status);
6. CERTIFICATE OF MAILING; AND
7. RETURN RECEIPT POSTCARD.

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PTO/SB/21 (6-98)

†

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

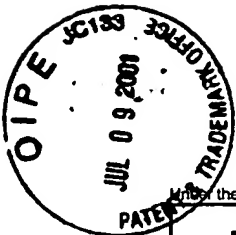
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/834,158	
	Filing Date	4/12/01	
	First Named Inventor	Raymond G. Freuler	
	Group Art Unit	1751	
	Examiner Name	Unknown	
Total Number of Pages in This Submission		Attorney Docket Number	POWRD-014B

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Charge Any Additional Fee Required, to Deposit Account No. 19-4330 <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69 and Accompanying Petition) <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <i>Certificate of Mailing; Notice of Loss of Entitlement to Small Entity Status; Copy of Notification of Loss of Small Entity Status; and Return Receipt Postcard.</i>
REMARKS: <div style="text-align: center;"><b>RECEIVED</b> JUL 20 2001 TC 1700</div> <div style="float: right; writing-mode: vertical-rl; transform: rotate(180deg);"><b>RECEIVED</b> JUL 18 2001 TC 3700 MAIL ROOM</div>		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual Name	Lowell Anderson STETINA BRUNDA GARRED & BRUCKER	
Signature		
Date	7/5/01	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>7/5/01</u>			
Typed or printed name	MICHELLE SCHROEDER		
Signature		Date	7/5/01

† SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



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<b>FEE TRANSMITTAL for FY 2001</b>  Patent fees are subject to annual revision.		<b>Complete if Known</b>	
		Application Number	09/834,158
		Filing Date	4/12/01
		First Named Inventor	Raymond G. Freuler
		Examiner Name	Unknown
TOTAL AMOUNT OF PAYMENT	(\$ 355.00)	Group Art Unit	1751
		Attorney Docket No.	POWRD-014B

METHOD OF PAYMENT		FEE CALCULATION (continued)	
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account Number <u>19-4330</u>  Deposit Account Name <u>STETINA BRUNDA ET AL.</u>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b>	
<b>2.</b> <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
<b>FEE CALCULATION</b>			
<b>1. BASIC FILING FEE</b>			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility Filing fee	355.00
106 320	206 160	Design Filing fee	
107 490	207 245	Plant Filing fee	
108 710	208 355	Reissue Filing fee	
114 150	214 75	Provisional Filing fee	
SUBTOTAL (1) \$ 355.00			
THE APPLICATION IS A LARGE ENTITY AND A PREVIOUS \$355.00 WAS PAID ON 4/12/01.			
<b>2. EXTRA CLAIM FEES</b>			
Extra Claims      Fee from below      Fee Paid			
Total Claims - 20** = <u>      </u> X <u>      </u> = <u>      </u>			
Independent Claims - 3 = <u>      </u> X <u>      </u> = <u>      </u>			
Multiple Dependent <u>      </u> = <u>      </u>			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135		
109 80	209 40		
110 18	210 9		
SUBTOTAL (2) \$ <u>      </u>			
**or number previously paid, if greater. For Reissues, see above			
		* Reduced by Basic Filing Fee Paid      SUBTOTAL (3) \$ <u>      </u>	

SUBMITTED BY:		Complete (if applicable)	
Name (Print/Type)	LOWELL ANDERSON	Registration No. Attorney	30,990
Signature	<i>Lowell Anderson</i>	Telephone	(949) 855-1246
		Date	7/5/01

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